8028-29 (SPX200211-0050US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Hong-Seong Son et al.

EXAMINER: Michael S. Lebentritt

SERIAL NO.:

10/696,438

GROUP ART UNIT: 2824

FILED:

October 29, 2003

FOR:

METHOD OF FABRICATING A METAL-INSULATOR-METAL

CAPACITOR

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

This Amendment is submitted in response to the August 31, 2004 Office Action issued by the United States Patent and Trademark Office in connection with the aboveidentified application. A response to the August 31, 2004 Office Action is due on November 30, 2004. Therefore, this Amendment is being timely filed.

CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 30, 2004.

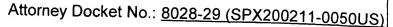
Dated: November 30, 2004

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APPLICANT(S):

Hong-Seong Son et al.

Examiner: Michael S. Lebentritt

SERIAL NO.:

10/696,438

Group Art Unit: 2824

FILED:

DEC 0 2 2004

October 29, 2003

Dated: October 7, 2004

FOR:

METHOD OF FABRICATING A METAL-INSULATOR-METAL

CAPACITOR

Mail Stop Amendment **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [] Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- [] No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS	22	21	1	x 9 =	\$0	x 18 =	\$18.00
INDEPENDENT CLAIMS	3	3	0	x 44	\$0	x 88 =	\$0
[] First Presentation of Multiple Dep. Claim				150		300	\$0

* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 30,

Dated: November 30, 2004

- [] Please charge Deposit Account No. <u>50-0679</u> in the amount of \$___. Two (2) copies of this sheet are enclosed.
- [X] The amount of \$18.00 is authorized to be charged to a Credit Card. Form PTO-2038 is enclosed.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLC 130 Woodbury Road Woodbury, NY 11797 (516) 692-8888 Respectfully submitted,

Michael F. Morano Reg No. 44,952

Attorney for Applicant(s)